

Darwin - A Theorem Prover for the Model Evolution Calculus

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Background

- **The best modern SAT solvers (MiniSat, zChaff, BerkMin, ...) are based on DPLL.**
- **The Model Evolution Calculus (ME) is a direct lifting of DPLL to the first-order level.**
- **Darwin is the first implementation of ME.**

Overview

- **The Model Evolution Calculus in brief**
- **The Proof Procedure**
- **Implementation**
- **Evaluation**

DPLL - A Model Generation View

- DPLL as a sequent-style calculus:

$$\frac{\Lambda \vdash \Phi}{\Lambda' \vdash \Phi'} \quad \text{where} \quad \left\{ \begin{array}{l} \Lambda \text{ literal set} \\ \Phi \text{ problem clause set} \end{array} \right.$$

- The **context** Λ represents the currently assumed model for Φ , all not explicitly listed atoms are assumed to be false.
- If the context does not satisfy Φ , "repair" it by adding literals to Λ .

DPLL - Example

Init. $\rightsquigarrow \{\}$

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Contradiction

Backtrack \rightsquigarrow **Undo the last Split decision**

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Satisfiable

The context gives a model for Φ :

$\{p, \neg q, \neg r, \neg s\}$

Inference Rules – Subsume

Propositional Version

$$\text{Subsume} \quad \frac{\Lambda, L \vdash \Phi, L \vee C}{\Lambda, L \vdash \Phi}$$

First-Order Version by Example

$$\text{Subsume} \quad \frac{\Lambda, P(x, y) \vdash \Phi, P(x, x) \vee Q(x)}{\Lambda, P(x, y) \vdash \Phi} \quad \text{if } P(x, x) \text{ instance of } P(x, y)$$

Inference Rules – Resolve

Propositional Version

$$\text{Resolve} \quad \frac{\Lambda, \bar{L} \vdash \Phi, L \vee C}{\Lambda, \bar{L} \vdash \Phi, C}$$

First-Order Version by Example

$$\text{Resolve} \quad \frac{\Lambda, P(x, b) \vdash \Phi, \neg P(a, y) \vee Q(a)}{\Lambda, P(x, b) \vdash \Phi, Q(a)} \quad \text{if “see paper”}$$

Inference Rules – Assert

Propositional Version

$$\text{Assert} \quad \frac{\Lambda \quad \vdash \Phi, L}{\Lambda, L \vdash \Phi, L} \quad \text{if} \quad \left\{ \begin{array}{l} L \notin \Lambda, \\ \bar{L} \notin \Lambda \end{array} \right.$$

First-Order Version by Example

$$\text{Assert} \quad \frac{\Lambda \quad \vdash \Phi, \neg P(x, y)}{\Lambda, \neg P(x, y) \vdash \Phi, \neg P(x, y)} \quad \text{if “see paper”}$$

Inference Rules – Split

Propositional Version

$$\text{Split} \quad \frac{\Lambda \vdash \Phi, L \vee C}{\Lambda, L \vdash \Phi, L \vee C \quad \Lambda, \bar{L} \vdash \Phi, L \vee C} \quad \text{if} \quad \begin{cases} C \neq \square, \\ L \notin \Lambda, \\ \bar{L} \notin \Lambda \end{cases}$$

First-Order Version by Example

$$\text{Split} \quad \frac{\Lambda \vdash \Phi, P(x, y) \vee Q(x)}{\Lambda, P(u, y) \vdash \Phi, P(x, y) \vee Q(x) \quad \Lambda, \neg P(u, c) \vdash \Phi, P(x, y) \vee Q(x)} \quad \text{if} \quad \dots$$

(u schematic variable, y universal variable, c fresh Skolem constant)

Inference Rules – Close

Propositional Version

$$\text{Close} \quad \frac{\Lambda \vdash \Phi, L_1 \vee \dots \vee L_n}{\Lambda \vdash \square} \quad \text{if} \quad \left\{ \begin{array}{l} \Phi \neq \emptyset \text{ or } n > 0, \\ \bar{L}_1, \dots, \bar{L}_n \in \Lambda \end{array} \right.$$

First-Order Version by Example

$$\text{Close} \quad \frac{\Lambda, \neg P(u, v), \neg Q(x, a) \vdash \Phi, P(y, y) \vee Q(z, z)}{\Lambda, \neg P(u, v), \neg Q(x, a) \vdash \square} \quad \text{if “see paper”}$$

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- A Split literal must be from the **remainder**.
- Close is triggered when the **remainder** is empty.

Proof Procedure

```
function start  $\Phi$ 
  // input: a clause set  $\Phi$ 
  // output: either "unsatisfiable"
  //          or a set of literals encoding a model of  $\Phi$ 
  let  $\Lambda = \emptyset$  // set of literals
  let  $L = \neg v$  // (pseudo) literal
  let Candidates = set of assert literals
                      consisting of the unit clauses in  $\Phi$ 
  try me( $\Phi, \Lambda, L, \textit{Candidates}$ )
  catch CLOSED -> "unsatisfiable"
```

Proof Procedure

```
function me( $\Phi, \Lambda, L, Candidates$ )  
  let  $Candidates'$  = add_candidates( $\Phi, \Lambda, L, Candidates$ )  
  let  $\Phi'$  =  $\Phi$  simplified by Subsume and Resolve  
  if no valid candidate in  $Candidates'$  then  
     $\Lambda'$  //  $\Lambda'$  encodes a model of  $\Phi'$   
  else  
    let  $L'$  = select_best( $Candidates', \Lambda'$ )  
    if  $L'$  is an assert literal then  
      me( $\Phi', \Lambda', L', Candidates' \setminus \{L'\}$ ) // assert  $L$   
    else  
      try  
        me( $\Phi', \Lambda', L', Candidates' \setminus \{L'\}$ ) // left split on  $L$   
      catch CLOSED ->  
        me( $\Phi', \Lambda', \bar{L}'^{sko}, Candidates' \setminus \{L'\}$ ) // right split on  $L$ 
```

Proof Procedure

function *add_candidates*($\Phi, \Lambda, L, Candidates$)

based on all context unifiers involving L

- adds to *Candidates* all assert literals
- adds to *Candidates* one split literal from each remainder
- raises the exception **CLOSED** if there is a closing context unifier

function *select_best*(*Candidates*, Λ)

returns the best assert or split literal in *Candidates*

Implementation I

- Implemented in **OCaml**.
- **Iterative Deepening** over the term depth of candidate literals.
- **Default interpretation** can alternatively assign true to all atoms.

Implementation II

- **Term indexing** with discrimination and substitution trees.
- Term sharing with a **term database** (set of weak references).
- **Bad candidates** are stored in a compact format.

Candidate Selection

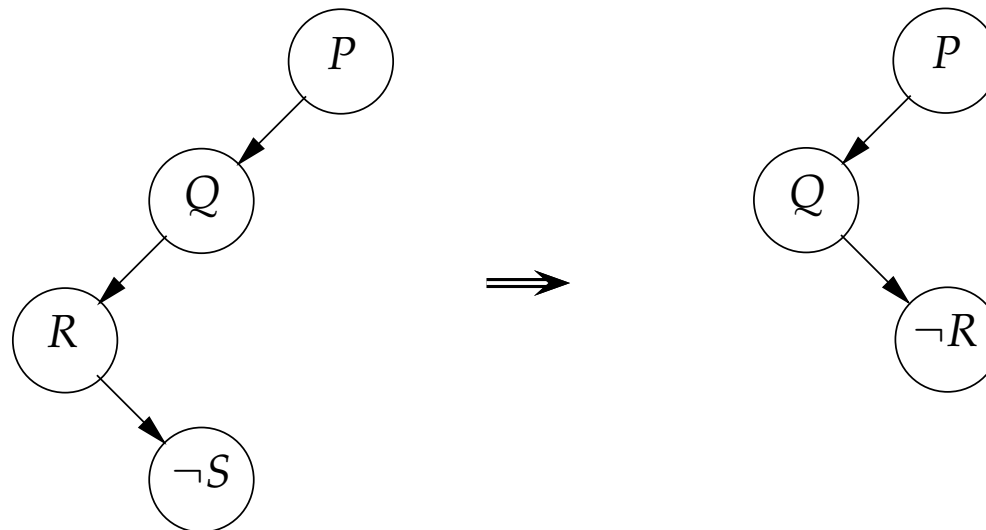
- **Prefer Assert to Split candidates.**
- **Heuristic criteria**
 - **Universality:** $p(x)$ and $p(a)$ are preferred to $p(u)$.
 - **Remainder Size:** $p(a)$ is preferred to $p(b) \vee q(c)$.
 - **Term Weight:** $p(x)$ is preferred to $p(f(a))$.
 - **Generation:** Prefer candidates inferred with less derivation steps.

Partial Context Unifier

- Context unifiers are exhaustively computed per **new context literal**.
- A context unifier is a **simultaneous unifier** of a clause from Φ against a multiset of literals from Λ .
- Unifiers between each context and problem literal are cached (**partial context unifier**).
- A context unifier is computed by **merging** partial context unifiers.

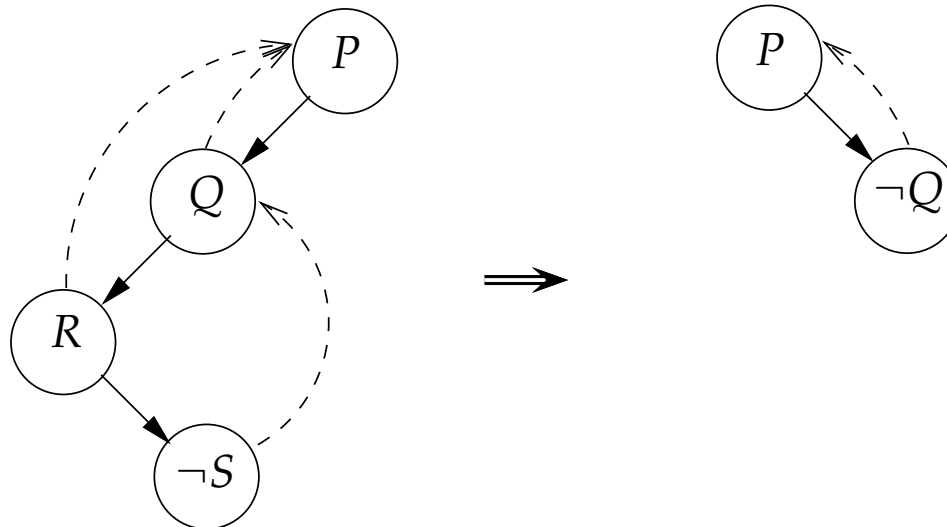
Dependency-Directed Backtracking

- Backtracking replaces left Splits by corresponding right Splits.
- Dependencies between Splits are based on context literals used in corresponding context unifiers.
- Naive Chronological Backtracking



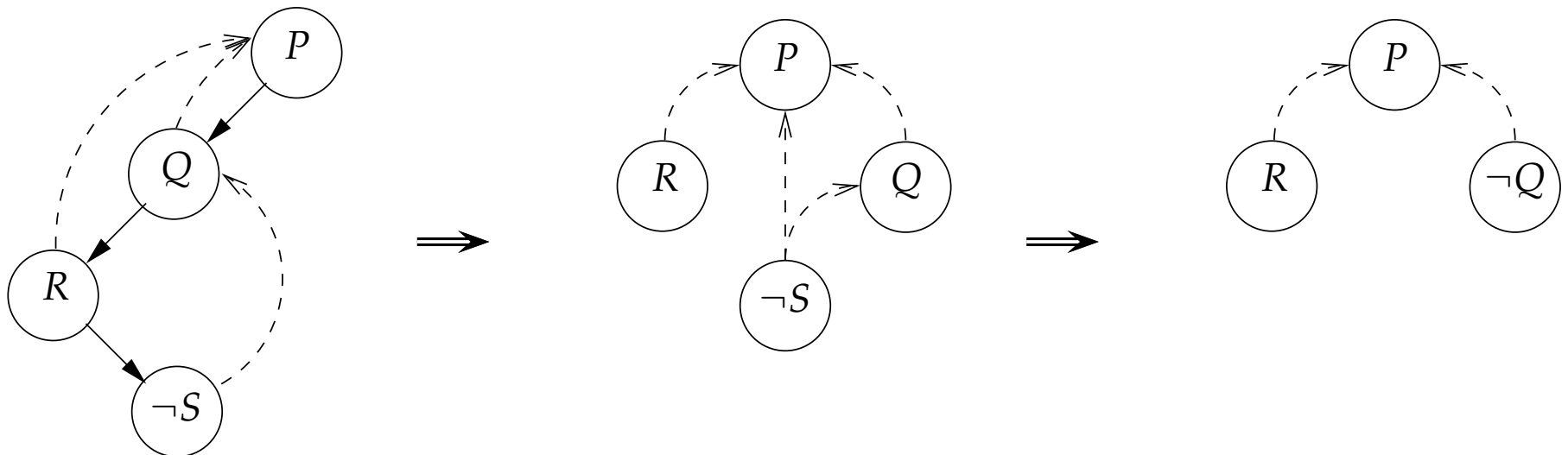
Dependency-Directed Backtracking

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- Backjumping



Dependency-Directed Backtracking

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- Dependencies between Splits are based on context literals used in corresponding context unifiers.
- Dynamic Backtracking



TPTP

- Darwin has been tested with TPTP problems on a P4-2.4Ghz (timeout of 500s, memory limit 500MB).
- Darwin solves
 - 600 of 753 Horn problems without equality
 - 810 of 1172 non-Horn problems without equality

CASC-18

Name	# Probl.	Darwin	DCTP 10.1p/SAT	E-SETHEO csp02/SAT	Gandalf c-2.5/SAT	Vampire 5.0
HNE	35	18	16	28	28	33
HEQ	35	9	20	33	29	31
EPS	35	30	–	27	24	6
EPT	35	32	25	33	34	26
NNE	35	16	22	29	29	33
SNE	35	8	17	19	28	–

HNE – Horn with No Equality

HEQ – Horn with some (but not pure) Equality

EPS – Effectively Propositional non-theorems (satisfiable clause sets)

EPT – Effectively Propositional Theorems (unsatisfiable clause sets)

NNE – Non-Horn with No Equality

SAT with No Equality

CASC-19

Name	# Probl.	Darwin	DCTP 10.2p/SAT	E-SETHEO csp03/SAT	Gandalf c-2.6/SAT	Vampire 6.0
HNE	20	9	15	17	18	18
HEQ	20	0	2	17	10	14
EPS	35	31	34	26	28	15
EPT	35	30	30	31	33	32
NNE	20	9	13	15	13	18
SNE	35	3	17	20	34	–

HNE – Horn with No Equality

HEQ – Horn with some (but not pure) Equality

EPS – Effectively Propositional non-theorems (satisfiable clause sets)

EPT – Effectively Propositional Theorems (unsatisfiable clause sets)

NNE – Non-Horn with No Equality

SAT with No Equality

Evaluation

- Darwin is a first basic implementation of the ME calculus.
- Darwin successfully combines
 - liftings of SAT techniques: unit propagation, backjumping
 - first-order techniques: unification, subsumption
 - theorem prover techniques: term indexing, term database
- Darwin already shows very good results for EP problems.
- ME leads to a competitive implementation.

Further Work

- **Iterative Deepening over Term Weight, Derivation Tree Depth**
- **Candidate selection based on conflict sets**
- **Lemma Learning**
- **Equality**